



Safer Recruitment Policy

Policy Group	D	People and Culture
Title	D19	Safer Recruitment
Trust sub-committee	Resources	
Last reviewed	September 2024	
Next scheduled review	September 2025	
Co-ordinated by	Director of People	



CONTENTS

1	Introduction	2
2	Scope and Objectives	2
3	Roles and Responsibilities	2
3.1.	Governing Body	2
3.2.	Headteacher/ Senior Leadership team/ recruiting managers	2
4	Recruitment and Selection Process	2
4.1.	Recruitment panels	2
4.2.	Adverts and recruitment packs	2
4.4	Application forms	3
4.5	Shortlisting	3
4.5	Employment history and references	3
4.6	Online searches	4
4.7	Selection	4
5	Pre-Employment Checks	5
5.2	Secretary of State Prohibition Orders and Section 128 direction (teaching and management roles)	6
5.3	Proof of identity, right to work in the UK, verification of qualifications and/or professional status and criminal records self-declaration	6
5.4	Fitness to undertake the role	7
5.5	Individuals who have lived or worked outside the UK	7
5.6	Childcare disqualification declaration	8
5.7	Retention of documents	8
6	Single Central Record	8
7	Induction	9
8	Contractors and Agency Workers	9
9	Volunteers	9
	APPENDIX 1 – Regulated Activity	11
	APPENDIX 2 – Criminal Records Self Declaration	12
	APPENDIX 3 – Childcare Disqualification Form	14
	APPENDIX 4 - Online search record form	16

1 Introduction

The safe recruitment of staff is the first step to safeguarding and promoting the welfare of children and young people in education. We are committed to safeguarding and promoting the welfare of the pupils in our care and expect all staff and volunteers to share this commitment.

2 Scope and Objectives

- 2.1 The scope of this policy is to set out the minimum requirements of a recruitment process that aims to:
 - 2.1.1 attract the best possible candidates to vacancies on the basis of their merit, abilities and suitability
 - 2.1.2 deter prospective candidates who are unsuitable for work with children or young people
 - 2.1.3 identify and reject candidates who are unsuitable for work with children and young people.
- 2.2 The objectives of this policy are as follows:
 - 2.2.1 to ensure that all candidates are considered equally and consistently
 - 2.2.2 to ensure that no candidate is treated unfairly on any grounds and specifically any protected characteristics as outlined in the Equality Act 2010
 - 2.2.3 to ensure compliance with all relevant legislation, recommendations and guidance including the statutory guidance published by the Department for Education (DfE), Keeping children safe in education (KCSIE), the Prevent Duty Guidance for England and Wales (the Prevent Duty Guidance) and any guidance or code of practice published by the Disclosure and Barring Services (DBS)
 - 2.2.4 to ensure that we meet our commitment to safeguarding and promoting the welfare of children and young people by carrying out all necessary pre-employment checks.

3 Roles and Responsibilities

- 3.1 **Trust Board**
 - 3.1.1 to ensure we have effective policies and procedures in place for the recruitment of staff and volunteers in accordance with the DfE guidance and legal requirements
 - 3.1.2 to monitor compliance with the above policies.
- 3.2 **Headteacher/ Leadership Team/Recruiting Managers**
 - 3.2.1 to ensure safe recruitment practices are followed and make sure appropriate checks are carried out on all staff and volunteers
 - 3.2.2 to monitor contractor and agency compliance with this document
 - 3.2.3 to promote the safeguarding of children and young people at every stage of the recruitment process.

4 Recruitment and Selection Process

- 4.1 **Recruitment panels**

In accordance with KCSIE, we will ensure that at least one member of any interview panel has undertaken Safer Recruitment training and has kept this training up to date.
- 4.2 **Adverts and recruitment packs**

Advertisements for posts, whether in newspapers, journals or online, will include the statement: 'Ambition Education Trust is committed to safeguarding and promoting the welfare of children and young people and expects all staff and volunteers to share this commitment. Appointment to a post at AET is subject to a satisfactory enhanced Disclosure and Barring Service check, as well as other pre-appointment checks including an online check, as outlined in Keeping Children Safe in Education.'

- 4.3 Prospective candidates will be supplied, as a minimum, with the following:
- 4.3.1 job description and person specification
 - 4.3.2 our child protection policy
 - 4.3.3 our safer recruitment policy (this document)
 - 4.3.4 the selection procedure for the post
- 4.4 **Application forms**
- 4.4.1 All prospective candidates must fully complete an application form. CVs will not be accepted in isolation as they do not contain the required information to support safer recruitment.
 - 4.4.2 We promote the practice of using anonymised application forms to manage unconscious bias.
- 4.5 **Shortlisting**
- 4.5.1 If shortlisted, all candidates will be asked to complete a criminal record self-declaration form where they will be required to declare all unspent cautions and convictions; and also, any adult cautions (simple or conditional), and spent convictions that are not protected as defined by the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (as amended in 2020). This form will be completed using our online recruitment system or the form attached in Appendix 2.
 - 4.5.2 Where relevant (section 5.6), candidates must also complete a Childcare Disqualification declaration in relation to the Childcare Disqualification Regulations 2018. This is to cover circumstances where an individual has a conviction that may result in them being barred from working with children. Candidates will be provided with this form if required (Appendix 3).
 - 4.5.3 Shortlisted candidates will also receive full details of the selection process.
- 4.6 **Employment history and references**
- 4.6.1 A minimum of two references will be taken up and at least one of the references will be obtained from the candidate's current or most recent employer and will be sought directly from the referee.
 - 4.6.2 If the applicant has previously worked with children, we will ensure that we secure a reference from the relevant employer from the last time the applicant worked with children.
 - 4.6.3 We will explore any discrepancy or gaps in employment identified through references during the interview where possible or, at least, before any offer of employment. This includes references for internal candidates.
 - 4.6.4 Employment references should be completed by a senior person with appropriate authority and not from a colleague. If the referee is school or college based, the reference should be confirmed by the Headteacher / Principal as accurate in respect of disciplinary investigations.
 - 4.6.5 Open references or testimonials provided by the candidate will not be accepted.
 - 4.6.6 Where necessary, referees will be contacted by telephone or email to clarify any anomalies or discrepancies and verify the source of the reference. Any contact will be recorded on file.

- 4.6.7 Where necessary, previous employers who have not been named as referees will be contacted to clarify any anomalies or discrepancies. A detailed written note will be kept of such exchanges.
- 4.6.8 Referees will always be asked specific questions about:
 - 4.6.8.1 the candidate's suitability for working with children and young people
 - 4.6.8.2 any disciplinary warnings, including time-expired warnings, that relate to the safeguarding of children
 - 4.6.8.3 the candidate's suitability for this post.
- 4.6.9 Candidates are not automatically entitled to see their employment references.

4.7 Online searches

- 4.7.1 We may as part of our recruitment process undertake online searches regarding candidates. This is intended to identify any incidents or issues that have happened, and are publicly available online, which we might want to explore with a candidate during the selection process.
- 4.7.2 Where we do an online search, we will consider the following points:
 - 4.7.2.1 for candidates to be notified in advance that online searches will be carried out at part of due diligence during the recruitment process
 - 4.7.2.2 for this to be carried out after shortlisting so it cannot be part of the decision to invite to interview
 - 4.7.2.3 at the point of being invited to interview, for candidates to be advised that this search will be being conducted on them
 - 4.7.2.4 for the parameters of the search to be agreed in advance (by us) and applied consistently for all candidates (platforms, search criteria, dates, all names associated with the candidate). See Appendix 4 for the form which details the agreed Online Search Criteria. Outputs of the search may be recorded on this form or within our online recruitment system.
 - 4.7.2.5 concentrating on professional information that sits within the public domain, and applied consistently for all candidates
 - 4.7.2.6 for the searches to all be carried out by the same person. Where possible by someone who will not be involved in the subsequent interviews/ decision making
 - 4.7.2.7 for the completed form to be shared with the panel as soon as possible in order for any concerns raised to be properly addressed during the selection process, giving the candidate an opportunity to respond
- 4.7.3 Wherever practicable searches will be undertaken using employer devices and accounts.

4.8 Selection

- 4.8.1 Selection techniques will be determined by the nature and duties of the vacant post and may include a variety of methods. We will conduct interviews in a face-to face manner (which could be via remote methods using video conferencing software, such as Google Meet or similar, if face to face is not practical). Telephone interviews may be used at the short-listing stage.
- 4.8.2 During the interview process candidates will be required to:
 - 4.8.2.1 give a satisfactory explanation of any gaps in employment
 - 4.8.2.2 provide a satisfactory explanation of any anomalies or discrepancies in the information available to recruiters
 - 4.8.2.3 declare any information that is likely to appear on a DBS check (via the criminal record self-declaration form)
 - 4.8.2.4 provide a childcare disqualification declaration form if and when required
 - 4.8.2.5 demonstrate their capacity to safeguard and protect the welfare of children and young people
 - 4.8.2.6 demonstrate how they meet the job description and person specification

- 4.8.2.7 all candidates who are invited to interview will be asked to bring original evidence of their identity, address, right to work in the UK, relevant qualifications and a completed Criminal Convictions Self-Declaration form.

5 Pre-Employment Checks

- 5.1 Any offer of appointment made to a successful candidate, including anyone who has lived or worked abroad, must be conditional on the satisfactory completion of the necessary pre-employment checks and we will:
- 5.1.1 Verify a candidate's identity, it is important to be sure that the person is who they claim to be, this includes being aware of the potential for individuals changing their name. Best practice is checking the name on their birth certificate, where this is available. Further identification checking guidelines can be found [here](#).
 - 5.1.2 Obtain (via the candidate) an enhanced Children's Workforce DBS certificate including barred list information for those who will be working in regulated activity; and an Enhanced Children's Workforce DBS (without the barred list check) for anyone who is working for us but is not working in regulated activity (see Appendix 1)
 - 5.1.3 Obtain a separate barred list check if an individual will start work in regulated activity before the DBS certificate is available. We will also carry out a risk assessment if candidates will be starting work prior to a DBS certificate being available
 - 5.1.4 Separate barred list checks **must** only be carried out in the following circumstances:
 - 5.1.4.1 For newly appointed staff who are engaging in regulated activity, pending the receipt of an Enhanced Certificate with Barred List information from the Disclosure and Barring Service (DBS) (and where all other relevant checks have been carried out); or,
 - 5.1.4.2 Where an individual has worked in a post in a school or college that brought them into regular contact with children or young persons which ended not more than three months prior to that person's appointment to the organisation (and where all other relevant checks have been carried out).
 - 5.1.5 Ensure all shortlisted candidates have completed a Criminal Record self-declaration form disclosing any relevant convictions. This will be completed online in our recruitment system or using the form attached in Appendix 2.
 - 5.1.6 Verify the candidate's mental and physical fitness to carry out their work responsibilities. A candidate can be asked relevant questions about disability and health in order to establish that they have the physical and mental capacity for the specific role - see paragraph 5.4.
 - 5.1.7 Verify the candidate's right to work in the UK. Advice on this can be found on the [Gov.uk website](#).
 - 5.1.7.1 Candidates from an EEA Country are required to provide evidence of having obtained settled status under the EU Settlement Scheme.
 - 5.1.7.2 Any overseas external candidates must apply for a VISA via the [New Points Based Immigration Scheme](#).
 - 5.1.8 If the candidate has lived or worked outside the UK, make any further checks we feel are appropriate which would include an overseas police check - see paragraph 5.5.
 - 5.1.9 Verify professional qualifications as appropriate by viewing original certificates. The Teacher Services system should be used to verify any award of qualified teacher status (QTS) and the completion of teacher induction or probation.
 - 5.1.10 Ensure the candidate is checked against the prohibition from teaching orders – see paragraph 5.2.
 - 5.1.11 Ensure the candidate is checked against the prohibition from management roles (section 128) check where applicable (part of barred list check for those in regulated activity) - see paragraph 5.2.
 - 5.1.12 Ensure the candidate completes a childcare disqualification declaration (where appropriate) – see paragraph 5.6 and form attached in Appendix 3.

- 5.2 **Secretary of State Prohibition Orders and Section 128 direction (teaching and management roles)**
- 5.2.1 In all cases, where a candidate is to undertake a teaching role of any kind (this may include non-teaching staff if they plan, prepare and deliver lessons and assess and report on pupils without supervision of a qualified teacher) a Prohibition Order check will be made. It is anticipated that this will be performed at the shortlisting stage, but it will, in any case, be carried out before any unconditional offer of employment is made.
- 5.2.3 The above activities do not amount to “teaching work” if they are supervised by a qualified teacher. If in any doubt or if the candidate has taught previously, or may teach in the future, the check will be undertaken.
- 5.2.4 Prohibition orders prevent a person from carrying out teaching work in schools, sixth form colleges, 16 to 19 academies, relevant youth accommodation and children's homes in England. A person who is prohibited from teaching must not be appointed to work as a teacher in such a setting.
- 5.2.4 Prohibition orders are made by the Secretary of State following consideration by a professional conduct panel convened by the Teaching Regulation Agency (TRA). Pending such consideration, the Secretary of State may issue an interim prohibition order if it is considered to be in the public interest to do so.
- 5.2.5 A Section 128 direction prohibits or restricts a person from taking part in the management of an independent school, including academies and free schools. An individual who is subject to a section 128 direction is unable to:
- 5.2.5.1 take up a management position in an independent school, academy, or in a free school as an employee
 - 5.2.5.2 be a trustee of an academy or free school trust; a governor or member of a proprietor body of an independent school
 - 5.2.5.3 be a governor on any governing body in an independent school, academy or free school that retains or has been delegated any management responsibilities.
- 5.2.6 A person prohibited under section 128 is also disqualified from holding or continuing to hold office as a governor of a school as stated in Keeping children safe in education and the DfE [Governance Handbook](#).
- 5.2.7 We will use the TRA’s Employer Access service to make prohibition, direction, restriction, and children’s barred list checks ([Teaching Regulation Agency \(education.gov.uk\)](#)).
- 5.2.8 Where the candidate will be engaging in regulated activity, a DBS barred list check will also identify any section 128 directions.
- 5.2.9 TRA checks cover UK Citizens only and therefore we may need to arrange for these checks to be carried out in the relevant country for overseas candidates (including those from the EEA).
- 5.3 **Proof of identity, right to work in the UK, verification of qualifications and/or professional status and criminal records self-declaration**
- 5.3.1 All shortlisted candidates invited to attend an interview will be required to bring their identification documentation such as passport, birth certificate, driving licence etc. with them as proof of identity/eligibility to work in the UK, in accordance with the Immigration, Asylum and Nationality Act 2006 and DBS Code of Practice Regulations (including evidence of settled status as required) and to complete the Criminal Records Self Declaration form.
- 5.3.2 Good quality photocopies of all documents will be taken. These will then be signed and

dated by the person who has evidenced the originals and the copy should state this - "originals seen, and identity confirmed"

- 5.3.2.1 Successful Candidates: all documents will be stored securely on the employee file for audit and inspection purposes, including any relevant risk assessments.
- 5.3.2.2 Unsuccessful Candidates: all documents will be kept securely for no later than 6 months after the recruitment process has been concluded. After which they will be destroyed securely.
- 5.3.3 Please note that the legislation surrounding what information is disclosed in an Enhanced and Standard DBS certificate changed on 28 November 2020 and as a result, an employer may not receive full details of a candidate's criminal history due to the changes to filtering.
- 5.3.4 An additional change was made on 28 October 2023:
 - 5.3.4.1 all unspent conditional cautions and convictions (as defined by the Rehabilitation of Offenders Act) will be automatically disclosed
- 5.3.5 This change ensures that all records that are disclosed on a DBS Basic check will also now appear on Standard and Enhanced checks.
- 5.3.6 However, in accordance with safeguarding, an employer is still legally entitled to ask shortlisted candidates to disclose their criminal history, however this no longer includes final warnings, cautions, and reprimands. Further guidance on this can be found in the NACRO guidance [here](#).
- 5.3.7 In addition, candidates must be able to demonstrate that they have obtained any academic or vocational qualification required for the position and claimed in their application form.

5.4 Fitness to undertake the role

A confidential pre-employment health questionnaire must be completed to verify the candidate's mental and physical fitness to carry out their work responsibilities. A successful candidate can be asked relevant questions about disability and health to establish whether they have the physical and mental capacity for the specific role once an offer of employment has been made. Confidential pre-employment checks will be carried out by our Occupational Health provider.

5.5 Individuals who have lived or worked outside the UK

- 5.5.1 Candidates who have lived or worked outside the UK must undergo the same checks as all other staff. In addition, we must make any further checks so that any relevant events that occurred outside the UK can be considered.
- 5.5.2 The Home Office guidance on criminal records checks for overseas candidates can be found [here](#).
- 5.5.3 Following the UK's exit from the EU, we are expected to apply the same approach for any individuals who have lived or worked outside the UK regardless of whether it was in an EEA country or the rest of the world.
- 5.5.4 These checks could include, where available:
 - 5.5.4.1 Criminal records check for overseas candidates - Home Office guidance can be found on GOV.UK; and for teaching positions obtaining a letter (via the candidate) from the professional regulating authority in the country (or countries) in which the candidate has worked confirming that they have not imposed any sanctions or restrictions, and or that they are aware of any reason why they may be unsuitable to teach.
- 5.5.5 Where available, such evidence can be considered together with information obtained through other pre-appointment checks to help assess their suitability.
- 5.5.6 Where this information is not available, we will seek alternative methods of checking

suitability and or undertake a risk assessment that supports informed decision making on whether to proceed with the appointment.

- 5.5.7 Although sanctions and restrictions imposed by another regulating authority do not prevent a person from taking up teaching positions in England, we will consider the circumstances that led to the restriction or sanction being imposed when considering a candidate's suitability for employment. Further information can be found in DfE Guidance.
- 5.5.8 Not all countries provide criminal record information, and where they do, the nature and detail of the information provided varies from country to country. We are aware that the criteria for disclosing offences in other countries often have a different threshold than those in the UK. The Home Office provides guidance on criminal records checks for overseas candidates which can be found [here](#).
- 5.5.9 Some overseas qualified teachers can apply to the TRA for the award of qualified teacher status (QTS) in England. More information about this is available at the following link [Qualified teacher status \(QTS\): qualify to teach in England - GOV.UK \(www.gov.uk\)](#) here. Please note that holding a teaching qualification (wherever it was obtained) does not provide suitable assurances for safeguarding purposes that an individual has not been found guilty of any wrongdoing or misconduct, and or is suitable to work with children.

5.6 Childcare disqualification declaration

- 5.6.1 Where relevant (as detailed below), candidates must complete a Childcare Disqualification declaration provided by us in relation to the Childcare Disqualification Regulations 2018. This declaration will be completed online in our recruitment system or using the form attached in Appendix 3. This is to cover circumstances where an individual has a conviction that may result in them being barred from working with children. Where a positive declaration is made a waiver can be applied for from Ofsted and must be satisfactorily granted before the candidate may commence work.
- 5.6.2 This only applies to staff working in the following settings:
 - 5.6.2.1 Early Years Provision - staff who provide any care for a child up to and including reception age. This includes education in nursery and reception classes and/or any supervised activity (such as breakfast clubs, lunchtime supervision and afterschool care provided by the school) both during and outside of school hours for children in the early years age range; and
 - 5.6.2.2 Later years provision (for children under 8) - staff who are employed to work in childcare provided by the school outside of school hours for children who are above reception age but who have not attained the age of 8. This does not include education or supervised activity for children above reception age during school hours (including extended school hours for co-curricular learning activities, such as the school's choir or sports teams) but it does include before school settings, such as breakfast clubs, and after school provision.

5.7 Retention of documents

- 5.7.1 Where documents have been obtained to verify a successful candidate's identity, right to work and required qualifications, they will be kept on their personnel file.
- 5.7.2 DBS certificates and records of criminal information disclosed by the candidate are covered by GDPR regulations. Copies of this information will only be retained where there is a valid reason for doing so and will not be kept for longer than six months. After destruction, the school may record the fact the vetting was carried out, the result and the recruitment decision.

6 Single Central Record

We will keep a single central record of pre-employment checks, which includes the statutory requirement to see the original DBS certificate and record the date seen. In the Keeping Children Safe in Education Regulations, this is referred to as "the register". The single central record will cover the following people:

- 6.1 all staff (including teacher trainees on salaried routes, agency and third-party supply staff)
- 6.2 for Academy Trusts, the members and Trustees
- 6.3 confirmation that these checks have been carried out along with the date the check was undertaken/obtained must be logged on this record for all employees.

7 Induction

- 7.1 We recognise that safer recruitment and selection is not just about the start of employment but must be part of a larger policy framework and ongoing vigilance for all staff. We will therefore provide ongoing training and support for all staff.
- 7.2 All staff who are new to us as an employer will receive induction training that will include our safeguarding policies and guidance on safe working practices including Child Protection, PREVENT, FGM awareness (as applicable) and online safety.
- 7.3 Regular meetings will be held during the first 6 months of employment between the new employee(s) and the appropriate manager(s).

8 Contractors and Agency Workers

- 8.1 We will obtain written notification from any agency, or third-party organisation they use that the organisation has carried out the checks (in respect of the enhanced DBS certificate, written notification that confirms the certificate has been obtained by either the employment business or another such business), on an individual who will be working for us.
- 8.2 Where the position requires a barred list check, this will be obtained by the agency or third party prior to appointing the individual. We will also check that the person presenting themselves for work is the same person on whom the checks have been made.

9 Volunteers

- 9.1 Under no circumstances will a volunteer in respect of whom no checks have been obtained be left unsupervised or allowed to work in regulated activity.
- 9.2 Volunteers who, on an unsupervised basis, teach or look after children regularly, or provide personal care on a one-off basis, will be in regulated activity. We will obtain an enhanced DBS certificate (which should include barred list information) for all volunteers who are new to working in regulated activity. Existing volunteers in regulated activity do not have to be re-checked if they have already had a DBS check (which includes barred list information). However, we may conduct a repeat DBS check (which should include barred list information) on any such volunteer should we have concerns.
- 9.3 There are certain circumstances where we may obtain an enhanced DBS certificate (not including barred list information), for volunteers who are not engaging in regulated activity. This is set out in DBS workforce guides, which can be found on [GOV.UK](https://www.gov.uk). Employers are not legally permitted to request barred list information on a supervised volunteer as they are not considered to be engaged in regulated activity.
- 9.4 We will undertake a risk assessment and use professional judgement and experience when deciding whether to obtain an enhanced DBS certificate for any volunteer not engaging in regulated activity. In doing so we will consider:
 - 9.4.1 the nature of the work with children
 - 9.4.2 what the establishment knows about the volunteer, including formal or informal information offered by staff, parents and other volunteers
 - 9.4.3 whether the volunteer has other employment or undertakes voluntary activities where referees can advise on suitability
 - 9.4.4 whether the role is eligible for an enhanced DBS check.
- 9.5 Details of the risk assessment will be recorded.
- 9.6 It is for us to determine whether a volunteer is supervised. In making this decision, and where an individual is supervised, to help determine the appropriate level of supervision we will refer to the

statutory guidance issued by the Secretary of State. This guidance requires that, for a person to be considered supervised, the supervision must be:

- 9.6.1 by a person who is in regulated activity;
- 9.6.2 regular and day to day; and
- 9.6.3 “reasonable in all the circumstances to ensure the protection of children.”

- 9.7 The DBS cannot provide barred list information on any person, including volunteers, who are not in, or seeking to engage in regulated activity.

APPENDIX 1 – Regulated Activity

Regulated activity includes:

- Teaching, training, instructing, caring for (see (c) below) or supervising children if the person is unsupervised, or providing advice or guidance on physical, emotional or educational well-being, or driving a vehicle only for children.
- Work for a limited range of establishments (known as ‘specified places’, which include schools and colleges), with the opportunity for contact with children, but not including work done by supervised volunteers.

Work under (a) or (b) is regulated activity only if done regularly. Some activities are always regulated activities, regardless of frequency or whether they are supervised or not. This includes:

- relevant personal care, or health care provided by or provided under the supervision of a health care professional:
- personal care includes helping a child with eating and drinking for reasons of illness or disability or in connection with toileting, washing, bathing and dressing for reasons of age, illness or disability
- health care means care for children provided by, or under the direction or supervision of, a regulated health care professional.

APPENDIX 2 – Criminal Records Self Declaration Form

Criminal Records Self Declaration Form



This form must be completed by all shortlisted candidates where a Disclosure and Barring Certificate (also known as a DBS), is required.

Policy statement on recruiting candidates with criminal records

This post is exempt from the Rehabilitation of Offenders Act 1974. For further information on criminal record self-declaration for roles that are eligible for standard or enhanced DBS checks please refer to [Nacro guidance](#) and the [Moj website](#).

We recognise the contribution that ex-offenders can make as employees and volunteers and welcome applications from them. A person's criminal record will not in itself, prevent a person from being appointed to this post. Any information given will be treated in the strictest confidence. Suitable candidates will not be refused posts because of offences which are not relevant to, and do not place them at or make them a risk in, the role for which they are applying.

All cases will be examined on an individual basis, and we will take the following into consideration:

- whether the caution or conviction is relevant to the position applied for
- the seriousness of any offence revealed
- the age of the candidate at the time of the offence(s)
- the length of time since the offence(s) occurred
- whether the candidate has a pattern of offending behaviour
- the circumstances surrounding the offence(s), and the explanation(s) offered by the person concerned
- whether the candidate's circumstances have changed since the offending behaviour.

It is important that candidates understand that failure to disclose all unspent cautions and convictions; and also, any adult cautions and spent convictions that are not protected could result in disciplinary proceedings or dismissal. Further advice and guidance on disclosing a criminal record can be obtained from [Nacro](#).

Please note that, if you are unsuccessful, this disclosure form will be securely destroyed within 6 months of your application.

Surname:		Forename:	
Post applied for:		Date:	
Teacher Ref No. <i>If applicable</i>		Date of QTS: <i>If applicable</i>	
Ambition Education Trust is committed to safeguarding and promoting the welfare of children and we expect all staff to share this commitment. For this post, pre-employment checks will be carried out, references will be sought, and successful candidates will be subject to an enhanced DBS check and other relevant checks with statutory bodies.			
Do you have any unspent conditional cautions or convictions?		Yes <input type="checkbox"/>	No <input type="checkbox"/>
Do you have any spent adult cautions (simple or conditional), or spent convictions, which are not 'protected' as defined by the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (as amended)?		Yes <input type="checkbox"/>	No <input type="checkbox"/>

Do you have any criminal convictions or relevant service discipline convictions received within the Armed Forces Justice System (e.g., through Summary Hearing or Court Martial) where it would be considered an equivalent offence in England and Wales?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Have you ever been barred from working with Children or disqualified from working in Childcare?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Are you subject to any sanctions in the EEA?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
<u>Teaching Posts Only</u> : Are you or have you ever been prohibited from teaching or sanctioned by the GTCE?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
<u>Management Posts Only</u> : Have you been prohibited from the management of an independent school (s128)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
If you have answered YES to any of the questions above please provide us with full details.			
<p>Please complete and sign the declaration below:</p> <p>I declare that all the information I have provided in this disclosure is full and correct at the time of application and that I have not omitted anything that could be relevant to the appointment of someone who will work with children. I understand that the recruitment panel may be made aware of any relevant information that I have disclosed in order to discuss the matter(s) with me as part of the recruitment process and that, if my application is successful, a risk assessment of the disclosed information will be held securely on my personnel file.</p>			
<p>DECLARATION</p> <p>I declare that the information provided on this form is correct. I understand that the declaration of a criminal record will not necessarily prevent me from being offered this role within Ambition Education Trust, however failure to disclose may result in an offer of employment being withdrawn.</p>			
Signed:	Date:		

APPENDIX 3 – Childcare Disqualification Form

Childcare Disqualification Self-Declaration Form



It is a legal requirement for Schools and Academies to ensure that the individuals they employ are not disqualified from working with children who have not yet reached the age of 8. This applies to those working in Early and Later Years settings or where their employment will involve provision for children under the age of 8.

As part of our pre-employment safeguarding vetting checks you are required to provide the information requested on this form to enable a determination to be made regarding your suitability for employment in this role. This forms part of our overall commitment to safeguarding.

The legislative provisions which allow us to request this information from you are as follows:

- The Childcare Act 2006
- The Childcare (Disqualification) and Childcare (Early Years Provision Free of Charge) (Extended Entitlement) (Amendment) Regulations 2018 (“the 2018 Regulations”)
- Rehabilitation of Offenders Act 1974
- Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (as amended in 2013)
- Keeping Children Safe in Education

Please note you are only required to declare any cautions issued as below:

- **Cautions** – issued on or after 6 April 2007.
- **Youth cautions** – issued on or after 8 April 2013

A failure to complete this form or disqualification from employment by virtue of the 2018 Regulations may result in your offer of employment being withdrawn.

Name:	
Post title:	
School:	

Please circle one option for every question

Section 1 – Orders or other restrictions	
Have any orders or other determinations related to childcare been made in respect of any child in your care?	YES/NO
Have any orders or other determinations been made which prevent you from being registered in relation to childcare, children’s homes or fostering?	YES/NO
Are there any other relevant orders, restrictions, or prohibitions in respect of you as set out in the 2018 Regulations? Available at the link below: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/719794/Disqualification_under_the_childcare_act_July2018.pdf	YES/NO
Are you banned from working with children by the Disclosure and Barring Service (DBS)?	YES/NO
<i>Teaching staff only</i> - Are you prohibited from teaching by the Teaching Regulation Agency (TRA)?	YES/NO

Please circle one option for every question

Section 2 – Specified and Statutory Offences	
Have you ever been cautioned, reprimanded, given a warning, given a youth caution or been convicted of:	
Any offence against or involving a child (a child is any person under the age of 18)?	YES/NO
Any violent or sexual offences against an adult?	YES/NO
Any offence under the Sexual Offences Act?	YES/NO
Any other relevant offences as set out in the 2018 Regulations? https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/719794/Disqualification_under_the_childcare_act_July2018.pdf	YES/NO
Any offence resulting in the death of or bodily injury of a child?	YES/NO
Have you ever been cautioned, reprimanded, given a warning for, or convicted of any similar offence in another country	YES/NO
Section 3 – Provision of Information	
<p>If you have answered YES to any of the questions above, you should provide details below. Give details of the order, caution, reprimand, warning, or conviction, including dates and relevant court(s)/body(ies). You must also provide a copy of the relevant order, caution, conviction etc. In relation to cautions/convictions a DBS certificate may be provided.</p>	
Section 4 – Declaration	
<p>In signing this form, I confirm that the information provided is true to the best of my knowledge and that:</p> <ul style="list-style-type: none"> • I understand my responsibilities to safeguard children. • I understand that I must notify the Headteacher, or equivalent senior leader, immediately of anything now, or in the future, which affects, or might affect, my suitability to work in the school, including any cautions, warnings, convictions, orders or other determinations made that would render me disqualified from working with children under the Childcare Act 2006, replacement or similar legislation. Failure to notify is considered a serious matter and is considered gross misconduct under the Disciplinary processes and could result in summary dismissal. 	
Signed:	Date:

APPENDIX 4 - Candidate Online Search Form

Candidate Online Search Form



Surname:		Forename:	
Post applied for:			
Search performed by:		Date:	

We may as part of our recruitment process undertake online searches regarding applicants. This is intended to identify any incidents or issues that have happened, and are publicly available online, which we might want to explore during the selection process. The search will concentrate on professional information that sits within the public domain. We are specifically looking for things that would make someone unsuitable to work with children and/or could bring the employer into disrepute, for example evidence of offensive or inappropriate behaviour.

The search will be based upon the agreed set of criteria outlined below.

The search will concentrate on professional information that sits within the public domain.

Agreed limitations: Search to be carried out using the agreed terms below, looking at the first three pages of results only

Agreed search terms:

- 'Candidate name(s)'
- 'Candidate name(s)' + 'job title'
- 'Candidate name(s)' + 'current employer'
- 'Candidate name(s)' + 'previous employer'
- 'Candidate name(s)' + 'educational institution'

Search results:

Agreed search platforms:	Search OK Yes / No	If no, please note findings
Google		
LinkedIn		
Their most recent employer's website		

If search OK is 'No' please summarise discussion with candidate regarding items of concern

Discussion held by:		Date:	

